

Regulation, Audit and Accounts Committee – Work Programme 2022/23

Committee Date:- 18 July 2022

Item	Lead Officer	Objectives and comments
Quarterly Treasury Management Report	Vicky Chuter	<ul style="list-style-type: none"> • Treasury Management Compliance Update - Quarterly Report on compliance and performance against the Treasury Management Strategy and planned parameters.
Quarterly Risk Management Report	Fraser Pake	<ul style="list-style-type: none"> • To receive quarterly update report on the effectiveness of risk management arrangements.
Risk Management Strategy	Fraser Pake	<ul style="list-style-type: none"> • To consider the reviewed Risk Management Strategy.
Annual Internal Audit Report & Opinion	Neil Pitman	<ul style="list-style-type: none"> • To approve the internal annual report and opinion on the organisation of framework of risk, internal control and governance for 2021/22
Internal Audit Progress Report	Neil Pitman	<ul style="list-style-type: none"> • To receive a progress update against the audit plan, outstanding management actions, audit performance and any significant issues arising from internal audit reviews
Internal Audit Plan (Q2)	Neil Pitman	<ul style="list-style-type: none"> • To consider the Internal Audit Plan for 2022/23 (Q2)
Annual Governance Statement Update	Charles Gauntlett	<ul style="list-style-type: none"> • To provide an update on progress against the action plan
External Audit	External Auditor	<ul style="list-style-type: none"> • EY to present <ul style="list-style-type: none"> • 2021/22 West Sussex County Council Full Audit Planning Report • 2021/22 West Sussex Pension Fund Audit Planning Update

Committee Date:- 22 September 2022

Item	Lead Officer	Objectives and comments
Financial Statements 2021/22	Vicky Chuter	<ul style="list-style-type: none"> To approve the statement of accounts for the County Council and Pension Fund.
Annual Governance Statement	Charles Gauntlett	<ul style="list-style-type: none"> To approve the Annual Governance Statement for 2021/22 and agree action plan.
Quarterly Risk Management Report	Fraser Pake	<ul style="list-style-type: none"> To receive quarterly update report on the effectiveness of risk management arrangements.
Internal Audit Progress Report	Neil Pitman	<ul style="list-style-type: none"> To receive a progress update against the audit plan, outstanding management actions, audit performance and any significant issues arising from internal audit reviews
Internal Audit Plan (Q3)	Neil Pitman	<ul style="list-style-type: none"> To consider the Internal Audit Plan for 2022/23 (Q3)
External Audit	External Auditor	<ul style="list-style-type: none"> EY to present <ul style="list-style-type: none"> 2021/22 West Sussex County Council Audit Results Report 2021/22 West Sussex Pension Fund Audit Results Report 2021/22 Draft West Sussex County Council Auditor's Annual Report

Committee Date:- 16 January 2023

Item	Lead Officer	Objectives and comments
Quarterly Treasury Management Report	Vicky Chuter	<ul style="list-style-type: none">• Treasury Management Compliance Update - Quarterly Report on compliance and performance against the Treasury Management Strategy and planned parameters.
Quarterly Risk Management Report	Fraser Pake	<ul style="list-style-type: none">• To receive quarterly update report on the effectiveness of risk management arrangements.
Internal Audit Progress Report	Neil Pitman	<ul style="list-style-type: none">• To receive a progress update against the audit plan, outstanding management actions, audit performance and any significant issues arising from internal audit reviews
Internal Audit Plan (Q4)	Neil Pitman	<ul style="list-style-type: none">• To consider the Internal Audit Plan for 2022/23 (Q4)
Annual Governance Statement Update	Charles Gauntlett	<ul style="list-style-type: none">• To provide an update on progress against the action plan
Financial Regulations	Vicky Chuter	<ul style="list-style-type: none">• To review the processes in place
External Audit Report	External Auditor	<ul style="list-style-type: none">• Progress report

Committee Date:- 20 March 2023

Item	Lead Officer	Objectives and comments
Financial Statements 2022/23 - Plans and Progress	Vicky Chuter	<ul style="list-style-type: none"> To update on progress to date for closing the 2022/23 accounts for West Sussex County Council and the West Sussex Pension Fund.
Quarterly Risk Management Report	Fraser Pake	<ul style="list-style-type: none"> To receive quarterly update report on the effectiveness of risk management arrangements.
Internal Audit Progress Report	Neil Pitman	<ul style="list-style-type: none"> To receive a progress update against the audit plan, outstanding management actions, audit performance and any significant issues arising from internal audit reviews
Internal Audit Plan 2023/24	Neil Pitman	<ul style="list-style-type: none"> To consider the Internal Audit Plan 2023/24 (Q1) and Fraud Plan for 2023/24
Internal Audit Charter 2023/24	Neil Pitman	<ul style="list-style-type: none"> To consider the Internal Audit Charter 2023/24
External Audit Report	External Auditor	<ul style="list-style-type: none"> Progress report
Annual Governance Statement Update	Charles Gauntlett	<ul style="list-style-type: none"> To provide an update on progress against the action plan and to consider the draft 2022/23 Statement.
Work Programme 2023/24	Adam Chisnall	<ul style="list-style-type: none"> To agree the work programme for the following year.